

Advertised: **SEPTEMBER 15, 2015**

CHIEF ENGINEER'S OFFICE

REQUEST for LETTERS of INTEREST (RFLOI)

2015 EASTERN REGION HIGHWAY DIVISIONS (Divisions 1, 2, 3, 4, and 6) -- PLANNING and DESIGN ON-CALL LIMITED SERVICES CONTRACT

TITLE: **2015 EASTERN REGION HIGHWAY DIVISIONS (Divisions 1, 2, 3, 4, and 6) -- PLANNING and DESIGN ON-CALL LIMITED SERVICES CONTRACT**

USING AGENCY: North Carolina Department of Transportation
EASTERN REGION HIGHWAY DIVISIONS (Divisions 1, 2, 3, 4, and 6)

ISSUE DATE: **SEPTEMBER 15, 2015**

SUBMITTAL DEADLINE: **OCTOBER 16, 2015**

ISSUING AGENCY: North Carolina Department of Transportation
Technical Services Division
Professional Services Management Unit

SYNOPSIS

SUBCONSULTANTS ARE PERMITTED UNDER THIS CONTRACT.

The primary and/or subconsultant firm(s) (*if Subconsultants are allowed under this RFLOI*) shall be pre-qualified to perform ALL of the work codes listed below (**E.G. THE TEAM MUST "COVER" ALL WORK CODES**) for the ITEMS LISTED BELOW and must be listed on the respective RS-2 form(s). Work Codes required are:

- 00005 PROJECT-LEVEL AIR QUALITY ANALYSIS
- 00014 ARCHAEOLOGICAL RESOURCE SURVEYS
- 00024 BRIDGES -- SPANS UNDER 200'
- 00026 CAPACITY ANALYSIS -- INTERSECTIONS AND CORRIDORS
- 00027 CAPACITY ANALYSIS -- FREEWAYS AND INTERCHANGES
- 00030 CAPACITY ANALYSIS -- ROUNDABOUTS
- 00032 CATEGORICAL EXCLUSIONS
- 00036 COMMUNITY IMPACT ASSESSMENT
- 00045 CORRIDOR PLANNING

- 00059 ECOLOGICAL & BIOTIC COMMUNITY STUDIES
- 00063 ENVIRONMENTAL ASSESSMENT/FINDING OF NO SIGNIFICANT IMPACTS
- 00070 EROSION AND SEDIMENT CONTROL DESIGN
- 00092 GPS
- 00097 GUIDE SIGN DESIGN -- CONVENTIONAL ROADS
- 00098 GUIDE SIGN DESIGN -- EXPRESSWAYS AND FREEWAYS
- 00106 HISTORIC ARCHITECTURAL SURVEYS OF STANDING STRUCTURES (BUILDINGS AND BRIDGES)
- 00114 ICI WATER QUALITY ASSESSMENTS
- 00116 INDIRECT AND CUMULATIVE EFFECTS ASSESSMENT
- 00126 INTERCHANGE DESIGN
- 00127 INTERCHANGE MODIFICATION/JUSTIFICATION REPORTS
- 00155 PAVEMENT MARKING PLANS
- 00171 PUBLIC INVOLVEMENT
- 00173 PUBLIC WATER DISTRIBUTION SYSTEMS
- 00194 RIGHT OF WAY NEGOTIATORS
- 00199 ROUTE LOCATION SURVEYS
- 00201 RURAL ROADWAY DESIGN
- 00203 SANITARY SEWER COLLECTION SYSTEMS
- 00207 SIGNAL DESIGN
- 00208 SIGNAL EQUIPMENT DESIGN
- 00209 SIGNAL SYSTEM COMMUNICATIONS DESIGN
- 00210 SIGNAL SYSTEM TIMING
- 00235 SUE
- 00243 THREATENED AND ENDANGERED SPECIES SURVEY & STUDIES
- 00247 TRAFFIC MANAGEMENT PLANS
- 00251 PROJECT LEVEL TRAFFIC FORECASTING
- 00253 PRELIMINARY TRAFFIC NOISE ANALYSIS (TNA) FOR NEPA DOCUMENTS
- 00269 URBAN ROADWAY DESIGN
- 00270 UTILITY COORDINATION
- 00280 WETLAND AND STREAM DELINEATION
- 00294 ROADWAY FOUNDATION INVESTIGATION & DESIGN
- 00295 STRUCTURE FOUNDATION INVESTIGATION & DESIGN
- 00296 RETAINING WALL INVESTIGATION & DESIGN
- 00308 LIMITED ENGLISH PROFICIENCY (LEP)
- 00434 TIER II COMPLETE HYDROLOGIC AND HYDRAULIC DESIGN

ALL OF THE WORK CODES LISTED ABOVE ARE REQUIRED IN ORDER TO BE CONSIDERED FOR THIS CURRENT RFLOI.

HOWEVER, OTHER WORK CODES MAY BE REQUIRED THROUGHOUT THE LIFE OF THE CONTRACT(S), BASED ON DIVISION NEED/WORKLOAD. THE PREQUALIFICATION(S) FOR ANY "FUTURE-REQUIRED" WORK CODE(S) WILL BE REVIEWED ON A PROJECT-SPECIFIC BASIS AND UPON DIVISION REQUEST, AND MAY NOT BE HELD BY ALL (SUB)CONSULTANTS SELECTED FOR THIS

CONTRACT. THESE "FUTURE-REQUIRED" WORK CODES ARE NOT CURRENTLY KNOWN AND WILL NOT BE USED IN THE SELECTION PROCESS FOR THIS CURRENT RFLOI.

The consultant/team must be capable of providing any and all work assignments in an expedient manner.

All services listed/mentioned above shall be done in accordance with the guidelines and standards for each unit.

The design plans for the work listed/mentioned above shall be prepared in electronic format. The Department requires all electronic files be in Microstation format using Geopak software.

WORK CODES for each primary and/or subconsultant firm(s) (if Subconsultants are allowed under this RFLOI) SHALL be listed on the respective RS-2 FORMS (see section 'SUBMISSION ORGANIZATION AND INFORMATION REQUIREMENTS').

This RFLOI is to solicit responses (LETTERS of INTEREST, or LOIs) from qualified firms to provide professional consulting services to:

PROVIDE PLANNING AND DESIGN ENGINEERING SERVICES TO THE EASTERN REGION HIGHWAY DIVISIONS (Divisions 1, 2, 3, 4, and 6) ON AN AS-NEEDED BASIS..

LOIs should be submitted in .pdf format using software such as Adobe, CutePDF PDF Writer, Docudesk deskPDF, etc.

One copy of the LOI should be sent as a .pdf file, **through NCDOT's FTS System**, to: psmu-411@ncdot.gov . **The FTS system will send you an electronic receipt when your LOI is uploaded to NCDOT's FTS system.** Paper copies are not required. The subject line should contain the Firm's Name, and **"LOI for 2015 EASTERN REGION DIVISIONS -- PLANNING and DESIGN ON-CALL LIMITED SERVICES CONTRACT"**.

If an interested firm does not have an FTS account they should send a request through regular e-mail to psmu-411@ncdot.gov . A response will be sent via the FTS system that will provide a login username, password, and login procedures.

LOIs SHALL be received electronically no later than 12:00 P.M., OCTOBER 16, 2015.

LOIs received after this deadline will not be considered.

Except as provided below any firm wishing to be considered must be properly registered with the Office of the Secretary of State and with the North Carolina Board of Examiners for Engineers and Surveyors. Any firm proposing to use corporate subsidiaries or subcontractors

must include a statement that these companies are properly registered with the North Carolina Board of Examiners for Engineers and Surveyors and/or the NC Board for Licensing of Geologists. The Engineers performing the work and in responsible charge of the work must be registered Professional Engineers in the State of North Carolina and must have a good ethical and professional standing. It will be the responsibility of the selected private firm to verify the registration of any corporate subsidiary or subcontractor prior to submitting a Letter of Interest. Firms which are not providing engineering services need not be registered with the North Carolina Board of Examiners for Engineers and Surveyors. Some of the services being solicited may not require a license. It is the responsibility of each firm to adhere to all laws of the State of North Carolina.

The firm must have the financial ability to undertake the work and assume the liability. The selected firm(s) will be required to furnish proof of Professional Liability insurance coverage in the minimum amount of \$1,000,000.00. The firm(s) must have an adequate accounting system to identify costs chargeable to the project.

SCOPE OF WORK

The North Carolina Department of Transportation (NCDOT) is soliciting proposals for the services of a firm/team for the following contract scope of work:

PROVIDE PLANNING AND DESIGN ENGINEERING SERVICES TO THE EASTERN REGION HIGHWAY DIVISIONS (Divisions 1, 2, 3, 4, and 6) ON AN AS-NEEDED BASIS.

PROPOSED CONTRACT TIME: TWO (2) YEARS; WITH AN OPTIONAL ONE (1) YEAR EXTENSION.

PROPOSED CONTRACT PAYMENT TYPE: LUMP SUM and/or COST-PLUS TASK ORDERS.

SUBMITTAL REQUIREMENTS

All LOIs are limited to **FIFTEEN (15)** pages (RS-2 forms are not included in the page count) inclusive of the cover sheet, and shall be typed on 8-1/2" x 11" sheets, single-spaced, one-sided.

ONLY ELECTRONIC LOIs WILL BE ACCEPTED.

LOIs containing more than **FIFTEEN (15)** pages will not be considered.

Firms submitting LOIs are encouraged to carefully check them for conformance to the requirements stated above. If LOIs do not meet ALL of these requirements, or if they are sent by any means other than NCDOT's FTS system, or to any address other than psmu-411@ncdot.gov they will be disqualified. No exception will be granted.

SELECTION PROCESS

Following is a general description of the selection process:

- The NCDOT Selection Committee will review all qualifying LOI submittals.
- For Limited Services Contracts (On-Call type contracts), the NCDOT Selection Committee MAY, at the Department's discretion, choose any number of firms to provide the services being solicited.
- For Project-Specific Contracts (non On-Call type contracts), the Selection Committee MAY, at the Department's discretion, shortlist a minimum of two (2) or three (3) firms to be interviewed. IF APPLICABLE, dates of shortlisting and dates for interviews are shown in the section SUBMISSION SCHEDULE AND KEY DATES at the end of this RFLOI.
- In order to be considered for selection, consultants must submit a complete response to this RFLOI prior to the specified deadlines. Failure to submit all information in a timely manner will result in disqualification.

SMALL PROFESSIONAL SERVICE FIRM (SPSF) PARTICIPATION

The Department encourages the use of Small Professional Services Firms (SPSF). Small businesses determined to be eligible for participation in the SPSF program are those meeting size standards defined by Small Business Administration (SBA) regulations, 13 CFR Part 121 in Sector 54 under the North American Industrial Classification System (NAICS). The SPSF program is a race, ethnicity, and gender neutral program designed to increase the availability of contracting opportunities for small businesses on federal, state or locally funded contracts. SPSF participation is not contingent upon the funding source.

The Firm, at the time the Letter of Interest is submitted, shall submit a listing of all known SPSF firms that will participate in the performance of the identified work. The participation shall be submitted on the Department's Subconsultant Form RS-2. RS-2 forms may be accessed on the Department's website at [NCDOT Electronic Forms](#).

The SPSF must be qualified with the Department to perform the work for which they are listed.

Real-time information about firms doing business with the Department and firms that are SPSF certified through the Contractual Services Unit is available in the Directory of Transportation Firms. The Directory can be accessed on the Department's website at [Directory of Firms](#) -- Complete listing of certified and prequalified firms.

The listing of an individual firm in the Department's directory shall not be construed as an endorsement of the firm.

PREQUALIFICATION

The Department maintains on file the qualifications and key personnel for each approved discipline, as well as any required samples of work. Each year on the anniversary date of the company, the firm shall renew their prequalified disciplines. If your firm has not renewed its application as required by your anniversary date or if your firm is not currently prequalified, please submit an application to the Department **prior to submittal of your LOI**. An application may be accessed on the Department's website at [Prequalifying Private Consulting Firms](#) -- Learn how to become Prequalified as a Private Consulting Firm with NCDOT. Having this data on file with the Department eliminates the need to resubmit this data with each letter of interest.

Even though specific DBE/MBE/WBE goals are not required for this project, the Department of Transportation is committed to providing opportunity for small and disadvantaged businesses to perform on its contracts through established Department goals. The Firm, subconsultant and subfirm shall not discriminate on the basis of race, religion, color, national origin, age, disability or sex in the performance of this contract.

SELECTION CRITERIA

All prequalified firms who submit responsive letters of interest will be considered.

In selecting a firm/team, the selection committee will take into consideration qualification information including such factors as:

1. **50%** = The firm's experience and staff to perform the type of work required, and should include any designated subconsultants.
2. **50%** = The evaluation of the performance on any previous contracts with the North Carolina Department of Transportation.
3. **N/A%** = N/A.
4. **N/A%** = N/A.
5. **N/A%** = N/A.

After reviewing qualifications, if firms are equal on the evaluation review, then those qualified firms with proposed SPSF participation will be given priority consideration.

SUBMISSION ORGANIZATION AND INFORMATION REQUIREMENTS

The LOI should be addressed to **Robert J. Stroup, PE, State Professional Services Engineer** and must include the name, address, telephone number, and e-mail address of the prime consultant's contact person for this RFLOI.

The LOI must also include the information outlined below:

Chapter 1 - Introduction

The Introduction should demonstrate the consultant's overall qualifications to fulfill the requirements of the scope of work and should contain the following elements of information:

- Expression of firm's interest in the work;
- Statement of whether firm is on register;
- Date of most recent private engineering firm qualification;
- Statement regarding firm's(') possible conflict of interest for the work; and
- Summation of information contained in the letter of interest.

Chapter 2 - Team Qualifications

This chapter should elaborate on the general information presented in the introduction, to establish the credentials and experience of the consultant to undertake this type of effort. The following must be included:

1. Identify recent, similar projects the firm, acting as the prime contractor, has conducted which demonstrates its ability to conduct and manage the project. Provide a synopsis of each project and include the date completed, and contact person.
2. If subconsultants are involved, provide corresponding information describing their qualifications as requested in bullet number 1 above.

Chapter 3 - Team Experience

This chapter must provide the names, classifications, and location of the firm's North Carolina employees and resources to be assigned to the advertised work; and the professional credentials and experience of the persons assigned to the project, along with any unique qualifications of key personnel. Although standard personnel resumes may be included, identify pertinent team experience to be applied to this project. Specifically, the Department is interested in the experience, expertise, and total quality of the consultant's proposed team. If principals of the firm will not be actively involved in the study/contract/project, do not list them. The submittal shall clearly indicate the Consultant's Project Manager, other key Team Members and his/her qualifications for the proposed work. Also, include the team's organization chart for the Project / Plan. A Capacity Chart / Graph (available work force) should also be included. Any other pertinent information should also be listed in this section.

Note: If a project team or subconsultant encounters personnel changes, or any other changes of significance dealing with the company, NCDOT should be notified immediately.

Chapter 4 - Technical Approach

The consultant shall provide information on its understanding of, and approach to accomplish, this project, including their envisioned scope for the work and any innovative ideas/approaches, and a schedule to achieve the dates outlined in this RFLOI (if any project-specific dates are outlined below).

No project-specific dates are known at the time of Advertisement. However, the consultant should address any plan(s) to meet Division deadlines for any assigned projects.

APPENDICES-
CONSULTANT CERTIFICATION Form RS-2

Completed Form RS-2 forms SHALL be submitted with the firm's letter of interest. This section is limited to the number of pages required to provide the requested information.

Submit Form RS-2 forms for the following:

- **Prime Consultant firm**
 - Prime Consultant Form RS-2 Rev 1/14/08; and

- **ANY/ALL Subconsultant firms** (*If Subconsultants are allowed under this RFLOI*) to be, or anticipated to be, utilized by your firm.
 - Subconsultant Form RS-2 Rev 1/15/08.
 - In the event the firm has no subconsultant, it is required that this be indicated on the Subconsultant Form RS-2 by entering the word "None" or the number "ZERO" and signing the form.

Complete and sign each Form RS-2 (instructions are listed on the form).

The required forms are available on the Department's website at:
<https://apps.dot.state.nc.us/quickfind/forms/Default.aspx> .

[Prime Consultant Form RS-2](#)

[Subconsultant Form RS-2](#)

All submissions, correspondence, and questions concerning this RFLOI should be directed to **Robert J. Stroup, PE** at rstroup@ncdot.gov.

NOTE: To adhere to the Timeframe, a Notice to Proceed is expected to be issued shortly after (a) firm(s)/team(s) is/are selected. All firm(s)/team(s) submitting a LETTER OF INTEREST should make sure that their rates and overheads are current and have been audited by NCDOT.

SUBMISSION SCHEDULE AND KEY DATES

RFLOI Release – **SEPTEMBER 15, 2015**

Deadline for Questions -

Issue Final Addendum -

Deadline for LOI Submission – **OCTOBER 16, 2015**

Shortlist Announced * -

Interviews - the week of

Firm Selection and Notification ** - **TBD**

Anticipated Notice to Proceed -

* Notification will **ONLY** be sent to shortlisted firms.

** Notification will **ONLY** be sent to selected firms.